



- 1 -

2756

PATENT #4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

CHRISTOPHER KERSHAW, *et al.*

Application No. 09/598,889

Filed: June 21, 2000

For: SYSTEM AND METHOD FOR  
COMPARING ATTRIBUTES OF  
DOCUMENTS

Group Art Unit: Unknown

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

2001 Ferry Building  
San Francisco, CA 94111  
(415) 433-4150

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231, on September 5, 2000.

LIMBACH & LIMBACH LLP

Date: 09/05/00

By:

*Ta-Tanisha L. Moore*

Ta-Tanisha L. Moore

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 17, 2000 (copy enclosed), Applicants wish to inform the Patent Office that the Declaration for Patent Application and the applicable surcharge (\$130) were submitted to the Patent Office on August 3, 2000. A copy of the Submission of Declaration Patent Application along with the declaration are attached for reference purposes. Also, attached are copies of the returned date-stamped postcard evidencing that the submission transmittal, declaration, and the surcharge were received by the Patent Office, as well as a copy of another Official Filing Receipt generated by the Patent Office to reflect that the \$130 fee has been incorporated in the "Fil Fee Rec'd" section of the filing receipt.

Since applicants have previously submitted a fee with the submission of the Declaration for Patent Application, no check is enclosed with this response to file missing parts of an application.

The Commissioner is hereby authorized to charge payment of any **additional** fees associated with this communication, or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Dated: Sept. 5, 2000

By: Gerald T. Sekimura

Gerald T. Sekimura  
Reg. No. 30,103

Attorneys for Applicant(s)

Attorney Docket No. SCHW-800



PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Christopher Kershaw, et al.

Application No. 09/598,889

Filed: June 21, 2000

For: SYSTEM AND METHOD FOR  
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DOCUMENTS

SUBMISSION OF  
DECLARATION FOR PATENT  
APPLICATION AND  
ASSIGNMENT DOCUMENT  
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2001 Ferry Building  
San Francisco, CA 94111  
(415) 433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on August 3, 2000.

LIMBACH & LIMBACH LLP Date: 08/03/00

By: Ta. Tanisha L. Moore  
Ta-Tanisha L. Moore

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed for filing in the above-identified application are an executed Declaration for Patent Application and Assignment document.

Also enclosed herewith are two (2) checks totaling the amount of \$170 to cover the surcharge (\$130) for filing missing parts of an application and recordation of the Assignment (\$40).

No NOTICE TO FILE MISSING PARTS OF APPLICATION has been received as of August 1, 2000. In the event the NOTICE TO FILE MISSING PARTS OF APPLICATION has crossed with this submission in the mail, the Commissioner is hereby authorized to charge any extension fees, additional fees, or credit any overpayment, to Deposit Account No. 12-1420. A duplicate of this transmittal letter is enclosed for that purpose.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Dated: 8-3-2000

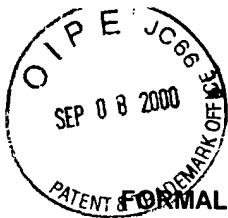
By: Gerald T. Sekimura

Gerald T. Sekimura  
Reg. No. 30,103

Attorneys for Applicant(s)

Attorney Docket No. SCHW-800

Rev. 09/15/99



\*OC000000005329492\*


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/598,889	06/21/2000	Christopher Kershaw	SCHW-800-(US)

 Mr Gerald T Sekimura  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111

Date Mailed: 08/17/2000

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**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**
**FILED UNDER 37 CFR 1.53(b)**
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

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*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/11/2000 SDENB081 00000040 121420 09598889

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## FILING RECEIPT



\*OC000000005329491\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/598,889	06/21/2000	2756	898	SCHW-800-(US)	7	15	4

Mr Gerald T Sekimura  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111

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LIMBACH &amp; LIMBACH LLP.

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Christopher Kershaw, Oakland, CA ;  
Darin Rock, San Francisco, CA ;  
Terri Wolf, Oakland, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 08/16/2000

PRIOR ART STATEMENT DUE: 9/21/00

FOREIGN FILING LETTER DUE: 12/21/00

FOREIGN FILING DEADLINE: 10/21/01

18 MONTH SUSPENSE DATE: 12/21/01

ON CAL. SUM

## Title

System and method for comparing attributes of documents

## Preliminary Class

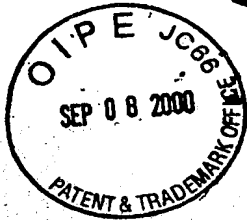
709

Data entry by : NIMMONS, EVELYN

Team : OIPE

Dat : 08/17/2000





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Serial/Inventor No. 09/598,889 L&L File No. SCHW-800 By: GTS:tlm  
In the Matter of the Application of: Christopher Kershaw et al.  
Title/Mark: SYSTEM AND METHOD FOR COMPARING ATTRIBUTES OF DOCUMENTS  
Date Mailed: August 3, 2000 Due Date:

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input checked="" type="checkbox"/> Transmittal Letter (x2)	<input type="checkbox"/> Trademark (Use) Appln., Drawing and _____ Specimens
<input type="checkbox"/> Patent Application _____	<input type="checkbox"/> ITU Trademark Application and Drawing
<input type="checkbox"/> _____ pgs. specification including _____ pgs. claims	<input type="checkbox"/> _____ Request for Extension of Time (trademark)
<input type="checkbox"/> Drawings _____ sheets _____ formal _____ informal	<input type="checkbox"/> (Notice of Allowance: _____)
<input checked="" type="checkbox"/> Oath/Declaration	<input type="checkbox"/> Statement of Use/Amendment to Allege Use
<input checked="" type="checkbox"/> Assignment w/ Form PTO-1595	<input type="checkbox"/> Section 8 & 15 Declaration
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Trademark Renewal Application and _____ Specimen
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<input checked="" type="checkbox"/> Deposit Account Authorization (in duplicate)	<input type="checkbox"/> Petition for Cancellation
<input checked="" type="checkbox"/> Information Disclosure Statement PTO 1449 w/ references	<input checked="" type="checkbox"/> Certificate of Mailing
<input checked="" type="checkbox"/> Check \$ 130 & \$40 (total \$170.00)	<input type="checkbox"/> Express Mail Certificate No. _____
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Certificate under §3.73(b)
<input type="checkbox"/> Request for Extension of Time (____ months patent)	<input type="checkbox"/> Certificate of Correction (PTO Form 1050)
<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Other: Submission of decl. &
<input type="checkbox"/> Appeal Brief (in triplicate)	<input type="checkbox"/> Assignment docs. for Kershaw
<input type="checkbox"/> Letter to Official Draftsman	<input checked="" type="checkbox"/> return postcard
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